# Program Agenda

**Compliance Symposium**  
September 20 - 21, 2022  
**Academic Event Center**  
3601 4th Street, Lubbock, TX

## Tuesday Sept 20

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
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<tbody>
<tr>
<td>7:45 am – 8:30 am</td>
<td><strong>Sign In &amp; Continental Breakfast</strong></td>
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| 8:30 am – 10:00 am | **Presentations:**  
Lisa D. Sullivan  
US Dept of Justice, Drug Enforcement Administration (DEA)  
Diversion Program Manager  
Dallas, Texas Field Division  
- DEA 101  
- The Opioid Epidemic and Emerging Drug Trends  
This session will cover record keeping requirements with regards to Title 21 Code of Federal Regulations, which are enforced by the Drug Enforcement Administration (DEA), and required by anyone who has a DEA Registration, or works for someone who has a DEA Registration. Ms. Sullivan will provide resources made available to DEA Registrants through the DEA website as well as other websites supported by the DEA. Additionally, the presentation will cover the current opioid crises, trends DEA is seeing, responsibilities of the DEA Registrants and general information on what can be done to halt the misuse of opioids and other controlled pharmaceutical substances. |
| 10:00 am – 11:00 am | **Presentations:**  
Cecilia Velastegui  
US Dept of Health & Human Services (HHS) Office for Civil Rights (OCR)  
Sr. Equal Opportunity Specialist/Investigator  
Southwest Regional Office  
- HIPAA Security Rule & Privacy and Breach Notification Rules  
This presentation will provide an overview of the Privacy, Security, and Breach Notification Rules using the Office for Civil Rights HIPAA slide deck designed for the general compliance audience. |
| 11:00 am – 11:15 am | **Break**                                                            |
| 11:15 am – 12:15 pm | **Presentations:**  
Von Aven  
Texas Office of the Attorney General (OAG), Law Enforcement Division  
Lieutenant  
Medicaid Fraud Control Unit  
Lubbock, Texas  
- Medicaid Fraud Control Unit  
This session will explain the mission of the Medicaid Fraud Control Unit, and how healthcare workers can assist the Medicaid Fraud Control Unit with identifying and reporting fraud. The goal is for participants to walk away knowing what types of investigations the Medicaid Fraud Control Unit conducts and where allegations of fraud and abuse can be reported. |
| 12:15 pm – 12:30 pm | **Break – Lunch Pick-up**                                            |
| 12:30 pm – 2:00 pm | **Keynote Address:**  
Sylvia Hernandez Kauffman  
Texas Health and Human Services Commission (HHSC)  
Inspector General  
Office of the Inspector General (OIG)  
Austin, Texas  
- Ensuring the Integrity of Texas Health and Human Services  
As the Inspector General for Texas Health and Human Services (HHS), Sylvia Hernandez Kauffman leads more than 525 auditors, investigators, lawyers and professionals engaged in protecting the integrity of HHS programs. Inspector General Kauffman will present on the agency’s efforts to prevent, detect and deter fraud, waste and abuse in the delivery of $43 billion in health services. |
Program Agenda

and human services each year in Texas. Her presentation will also explain how the agency capitalizes on the power of data to more quickly identify emerging threats across the health care system.

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<tr>
<th>Time</th>
<th>Speaker(s)</th>
<th>Presentation:</th>
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</table>
| 2:00 pm – 3:00 pm | Dan D. McQueen  
Special Agent  
Office of Investigations (OI)  
Dallas, Texas Regional Office | HHS-OIG Office of Investigations Overview                                          |
|            | Gabe Torres  
Special Agent  
Office of Investigations (OI)  
Dallas, Texas Regional Office | This presentation will provide an overview of the HHS Office of Inspector General (OIG), which is the largest inspector general’s office in the Federal Government and is dedicated to combating fraud, waste and abuse in HHS programs. One of the OIG’s components is the Office of Investigations (OI). OI is the premier health care fraud investigation unit in the United States. It is tasked with conducting criminal, civil and administrative investigations of fraud and misconduct related to HHS programs, operations, and beneficiaries. |
| 3:00 pm – 3:15 pm | Break                                                                                               |                                                                              |
| 3:15 pm – 4:14 pm | Dr. Ted Spears  
Texas Health and Human Services Commission (HHSC)  
Chief Medical Officer  
Office of the Inspector General (OIG)  
Austin, Texas | Telemedicine Challenges                                                               |
|            | The COVID-19 pandemic prompted an increased use of telemedicine to connect providers with their patients. To make the transition to technology-delivered care easier, Texas officials adopted waivers and changes expanding the number of Medicaid services available through telemedicine. The significant shift in service delivery and demand due to the pandemic gave rise to new program integrity issues. Dr. Ted Spears, chief medical officer for The Texas Health and Human Services Office of Inspector General, will discuss potential areas of program integrity risk in the utilization of telemedicine. |
| 4:15 pm – 4:30 pm | Wrap-Up                                                                                              |                                                                              |
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### Wednesday Sept 21

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<tr>
<td>8:00 am – 8:30 am</td>
<td>Sign In &amp; Continental Breakfast</td>
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<tr>
<td>8:30 am – 9:30 am</td>
<td>Deborah Dabbs, Cogdell Memorial Hospital</td>
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<td>Presentations:</td>
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<td>• Compliance Challenges in Rural Health Care</td>
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<tr>
<td>9:30 am – 10:30 am</td>
<td>James L. Moore, III, US Dept of Education (DOE)</td>
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<td>Presentations:</td>
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<td>• Clery Act</td>
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- This session is designed to provide a glimpse at the challenges faced by rural health care facilities to meet compliance rules and regulations. Decreasing patient populations, difficulties in recruiting and retaining qualified providers and personnel, and tightening fiscal constraints all make resources for compliance activities increasingly scarce. This presentation will provide insight on how a Compliance Officer at a Critical Access Hospital in rural West Texas tackles these challenges through coordination and communication with hospital administration and Board of Managers, as well as front line staff and providers.

- This session is designed to provide information about the basic requirements of the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act). Attendees will be introduced to key concepts that will help them develop and refine campus safety, crime prevention, and Clery compliance programs that align with Federal requirements and meet the needs of their campus communities. Focus areas will include administrative capability in Title IV program operations, crime reporting requirements, identifying and classifying Clery Geography, and compliance with the sexual violence prevention and response provisions of the Violence Against Women Act.

### Roundtable Discussions – Choose 2 Topics

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<thead>
<tr>
<th>Time</th>
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<tbody>
<tr>
<td>10:30 am – 11:15 am</td>
<td>2023 CMS Physician Fee Schedule Proposed Rule</td>
<td>No Surprise Act/Good Faith Estimates</td>
<td>HIPAA</td>
<td>Immigration Challenges for Employers</td>
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TTUHSC Lubbock Main Campus Parking: Please park in Lot D-2 (the purple shaded Visitor/Event Parking spaces on the map). If purple spaces are full, please park in Lot F-3 (the red shaded Faculty/Staff/Student spaces in the north-west corner of the map).